

### Audit & Governance Committee – Forward Plan 2022/23

REPORT	28 JUL 2022	8 SEP 2022 (extra)	20 OCT 2022 (extra)	27 OCT 2022	1 DEC 2022 (extra)	12 JAN 2023	Was 16 MAR 2023 (extra)	Was 13 APR 2023
<i>Last two meetings of the municipal year are rearranged to not fall within the purdah period for local elections 2023</i>							Now 16 FEB 2023 (extra)	Now 16 MAR 2023
<b>ANNUAL REPORTS</b>								
Statement of Accounts 2020/21 & 2021/22					✓	As timing requires		
Annual Governance Statement 2021/22 and Annual Review of Local Code of Governance (2 update on Action Plan only)	✓					✓ <sub>2</sub>		
Chief Internal Auditor's Annual Opinion Report 2021/22	✓							
Annual Breaches & approved Waivers of Financial Regulations Report 2021/22	✓							
Annual Review of Declarations of Interests, Gifts & Hospitality by Officers 2021/22	✓							
Use of Regulation of Investigatory Powers Act and Investigatory Powers Act Annual Report 2021/22	✓							
Annual Report of Internal Audit Counter Fraud Work and Whistleblowing Referrals 2021/22				✓				
Emergency Planning & Business Continuity Update				✓				
Health & Safety and Fire Safety Update				✓				
Treasury Management Strategy Refresh/Approval for next financial year						✓		
Assurance Framework & Internal Audit Planning Consultation						✓		
Information Governance Update								✓
Internal Audit Charter & Audit Plan for next financial year								✓
<b>ANNUAL OR PERIODIC POLICY UPDATES</b>								
Annual evolution of Policies for 2023/24: - Whistleblowing - Anti-Fraud and Corruption - Declaration of Interests, Gifts & Hospitality - Regulation of Investigatory Powers Act (RIPA) and Investigatory Powers Act (IPA)							✓	
Financial Regulations - annual evolution for 2023/24.							✓	
<b>QUARTERLY / HALF YEARLY REPORTS</b>								
Internal Audit - Quarterly Audit Plan Update	✓			✓		✓		✓
Risk Management – Corporate Risk Register Update	✓			✓		✓		✓
Forward Plan (refresh)	✓			✓		✓		✓
Treasury Management Monitoring Report	✓			✓		✓		
<b>AD HOC / OTHER REPORTS</b>								
Review of the Council's Constitution – Recommendations of the Constitution Review Working Group				✓				
Risk Management Arrangements Review					✓			
<b>PRESENTATIONS</b> (rather than formal reports)								
<i>Presentations to be agreed during the year</i>								
Insurance Arrangements Review		✓						

Update on Corporate Risk 9 (Maintain a safe and balanced budget for the delivery of services)			✓					
Update on Corporate Risk 13 (Deliver the transformation programme)			✓					
<b>EXTERNAL AUDITS REPORTS</b>								
External Auditor – Audit Plan 2021/22 ( <sup>3</sup> 2022/23)				✓				✓ <sub>3</sub>
External Auditor – Audit Findings Report 2020/21 & 2021/22					✓ As timings require			
External Auditor – Auditors Annual Report 2020/21 ( <sup>4</sup> 2021/22)			✓		✓ <sub>4</sub> As timings require			
External Auditor – Audit Progress & Sector Update	✓			✓		✓		✓